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Message Text

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PAGE 01 STATE 086388
ORIGIN ARA-10

INFO OCT-01 ISO-00 L-03 EB-08 /022 R

DRAFTED BY ARA-LA/CEN:MBARRERA:MMW
APPROVED BY ARA-LA/CEN:MBOVA
L:LHUMMER
----------181851Z 124444 /47
R 181617Z APR 77
FM SECSTATE WASHDC
TO AMEMBASSY SAN JOSE

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E.O. 11652: N/A

TAGS: EGEN

SUBJECT: REQUEST FOR INFORMATION ON ROBERT S. BROWN

1. THE COMMODITY FUTURES TRADING COMMISSION (CFTC) IS INVESTIGATING THE APPLICATION OF ROBERT S. BROWN TO BECOME A COMMODITY BROKER. THE CFTC HAS ASKED THE DEPARTMENT TO OBTAIN THE FOLLOWING INFORMATION CONCERNING MR. BROWN'S ACTIVITIES IN COSTA RICA: (A) WHETHER THE NAME OF ROBERT S. BROWN APPEARS IN THE CIVIL REGISTRY AS A PARTNER OR SHARE HOLDER OF SWISS TRUST CORPORATION, OR ANY OTHER CORPORATION; (B) WHETHER THERE ARE ANY OUTSTANDING WARRANTS IN COSTA RICA FOR THE ARREST OF ROBERT S. BROWN; (C) WHETHER MR. BROWN OR ANY OF HIS CORPORATIONS HAVE BEEN NAMED DEFENDANTS IN A SUIT IN A COSTA RICAN COURT; (D) WHETHER TO THE KNOWLEDGE OF THE U.S. EMBASSY, ROBERT S. BROWN MAY HAVE VIOLATED ANY U.S. LAW; (E) UNDER WHAT CIRCUMSTANCES DID ROBERT S. BROWN LEAVE COSTA RICA IN OCTOBER OF 1976.
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2. CFTC IS AWARE FROM OTHER SOURCES OF COMPLAINTS AGAINST

ROBERT S. BROWN BY TWO AMERICAN CITIZENS, WHO MAY NOW BE RESIDING IN COSTA RICA, RICHARD A. YOUNG AND L.M. JOHNSON.

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3. IN HIS APPLICATION TO RECEIVE A COMMODITIES BROKER'S LICENSE, ROBERT S. BROWN LISTED EQUITIES MANAGEMENT CORPORATION AS THE NAME OF A COMPANY HE OWNED IN SAN JOSE. SHOULD THE CIVIL REGISTRY SHOW THAT MR. BROWN OWNED EQUITIES MANAGEMENT AND OTHER COMPANIES SUCH AS SWISS TRUST CORPORATION, THE CFTC WOULD APPRECIATE A PHOTOCOPY OF THE PAGE FROM THE CIVIL REGISTRY SHOWING THE NAMES OF THE COMPANIES OWNED BY BROWN.

4. CFTC WOULD ALSO APPRECIATE RECEIVING COPIES OF ANY NEWSPAPER CLIPPINGS ON THE ACTIVITIES OF MR. BROWN THE EMBASSY MAY HAVE COLLECTED.
VANCE

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Message Attributes

Automatic Decaptioning: X
Capture Date: 01-Jan-1994 12:00:00 am
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Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment: 25 YEAR REVIEW
Disposition Date: 22 May 2009
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
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Enclosure: n/a
Executive Order: N/A
Errors: N/A
Expiration:
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Original Handling Restrictions: n/a
Original Previous Classification: n/a
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Previous Classification: LIMITED OFFICIAL USE
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Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
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Secure: OPEN
Status: NATIVE
Subject: REQUEST FOR INFORMATION ON ROBERT S. BROWN
TAGS: EGEN, BBAK, US, CS, CFTC, (BROWN, ROBERT S)
To: SAN JOSE
Type: TE
vdkvgwkey: odbc://SAS/SAS.dbo.SAS_Docs/466a3aa3-c288-dd11-92da-001cc4696bcc
Review Markings:
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US Department of State
EO Systematic Review
22 May 2009
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